

# Splines & Kinematics

- **Splines**
- Forward Kinematics
- Inverse Kinematics

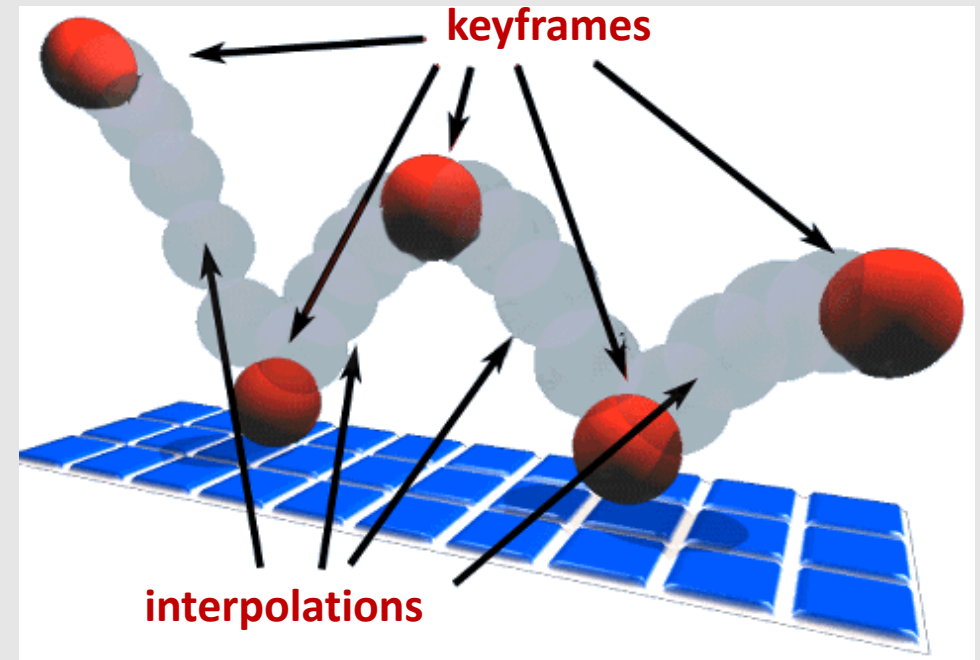
# Recall: 3D Animation



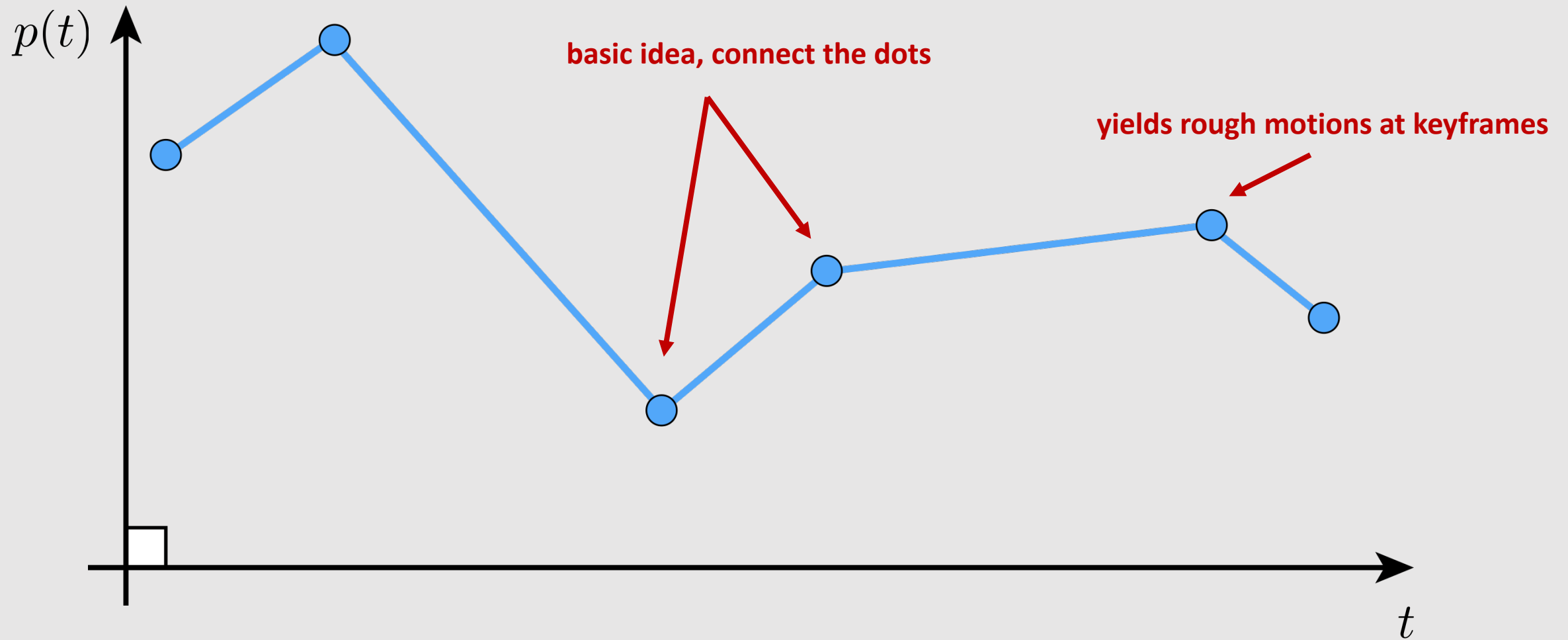
- Using meshes, materials, and rendering to produce 3D animated sequences
- Use a photorealistic renderer to make results photorealistic
- **Today:** No need to draw anything, computer takes care of everything
  - Set keyframes by hand
    - **Forward Kinematics**
    - **Inverse Kinematics**
  - Allow keyframes to interpolate
    - **Splines**

# Keyframing

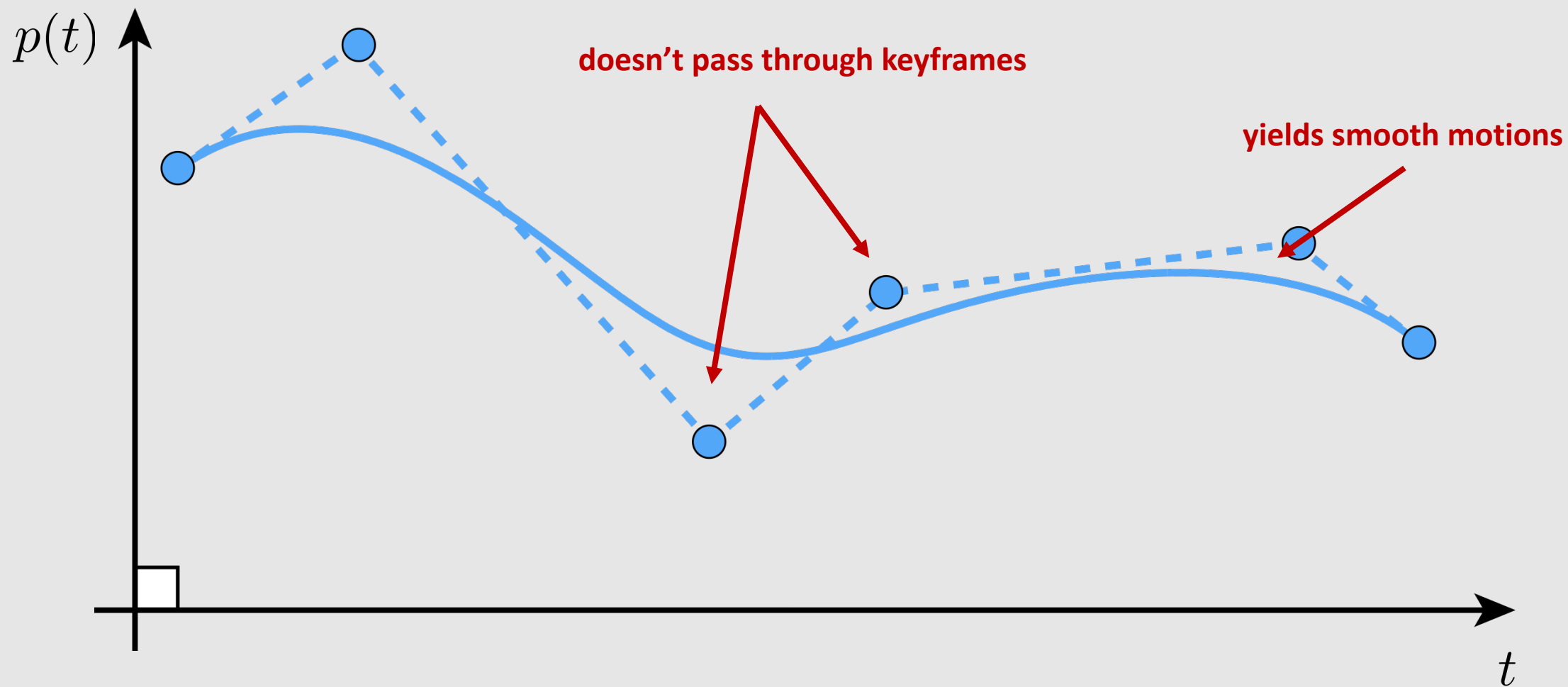
- Set keyframes at important locations in the animation
  - Have the computer interpolate the rest
- Can keyframe anything!
  - Color
  - Light intensity
  - Camera zoom
- **Problem:** how should data interpolate?
  - Linearly?
  - Along a curve/arc?



# Linear Interpolation

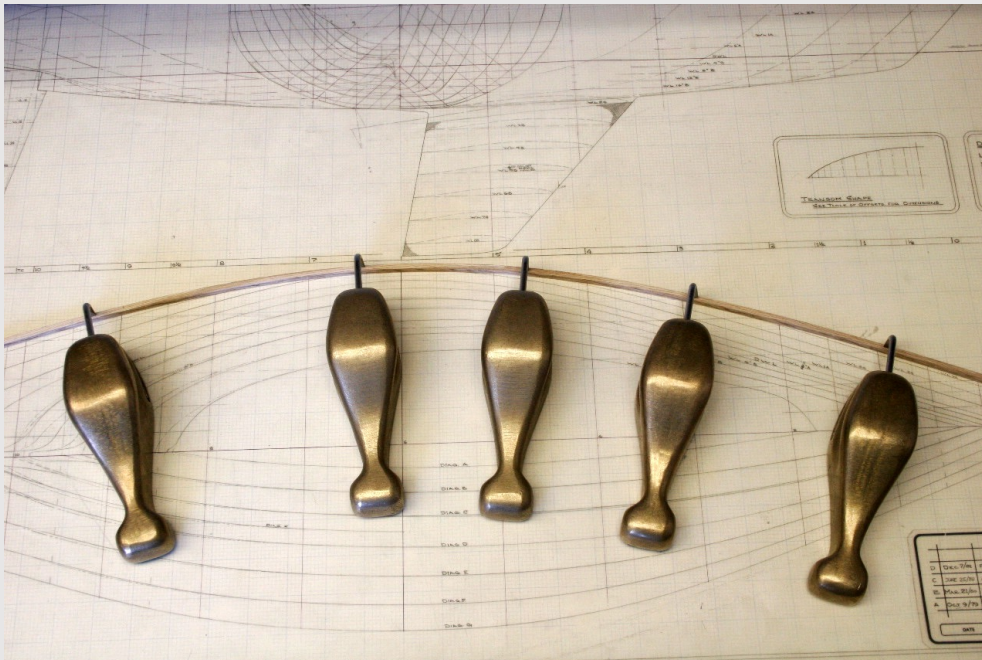


# Piecewise Polynomial Interpolation

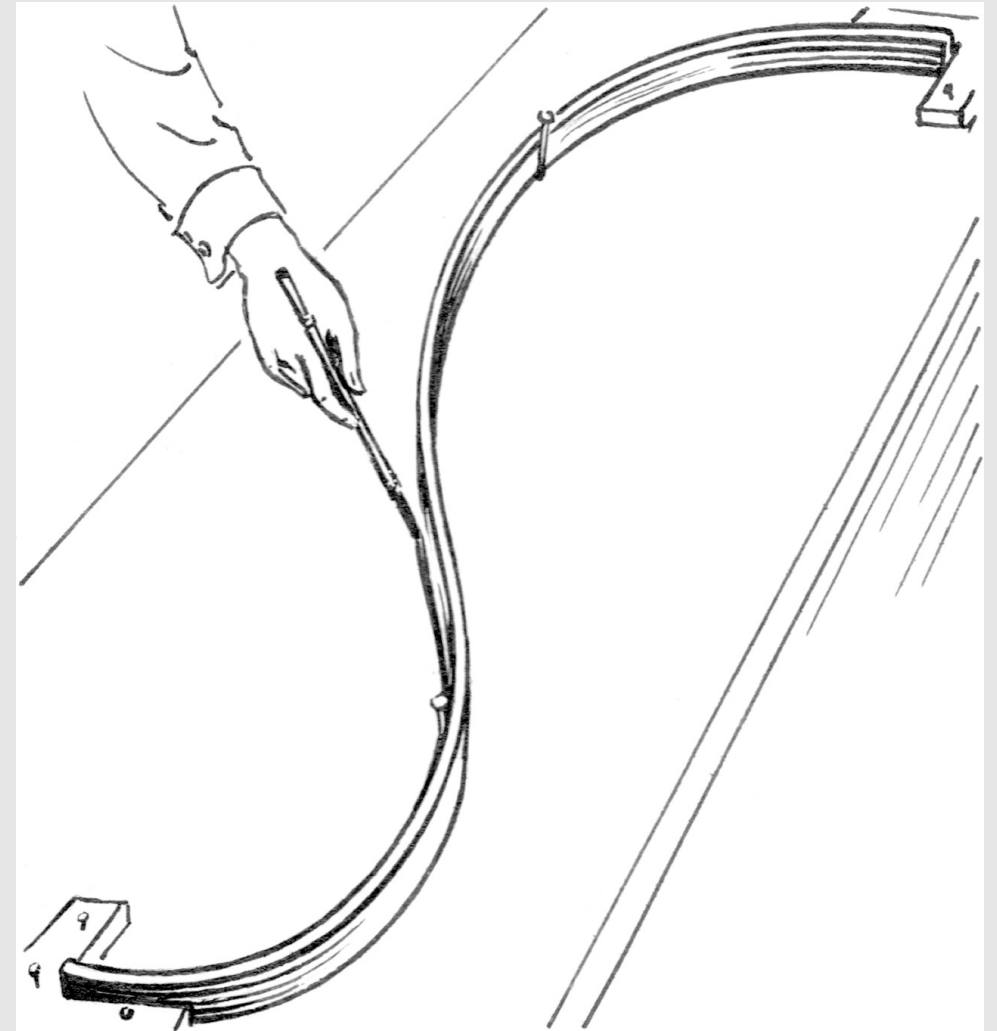


# Splines

- Mathematical theory of interpolation arose from study of thin strips of wood or metal (“splines”) under various forces
  - **Splines** help us define how interpolation should occur



The Elastica: A Mathematical History (2008) Levin



# Splines

- In this course, a spline is any piecewise cubic polynomial function

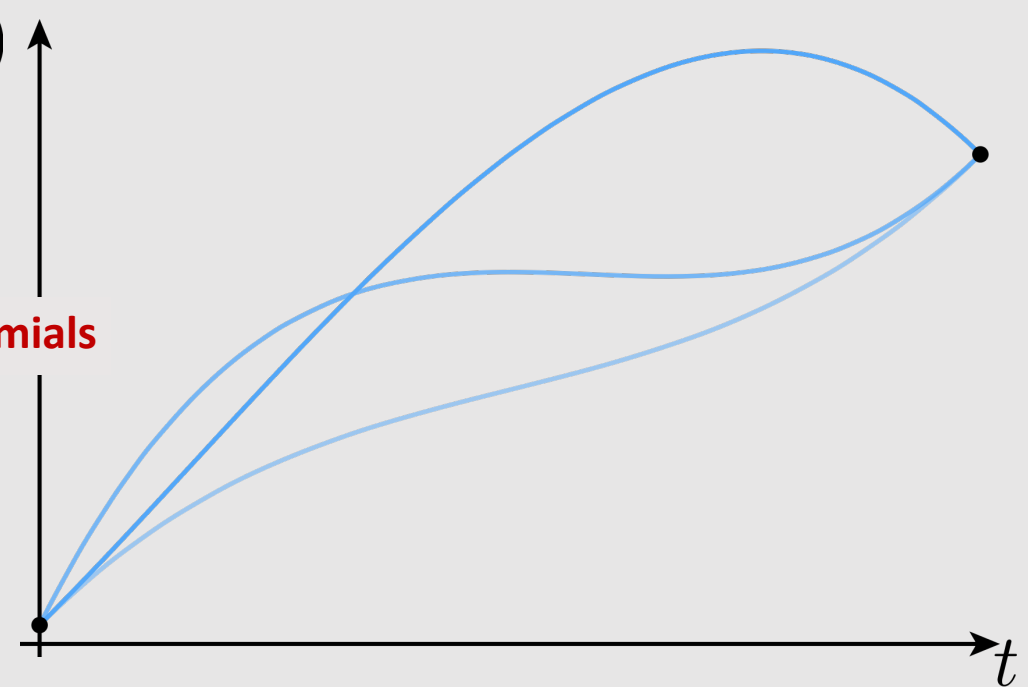
$$\text{for } t_i \leq t \leq t_{i+1}, f(t) = \sum_{j=1}^d c_i t^j =: p_i(t)$$

**degree** (points to  $d$ )  
**coefficients** (points to  $c_i$ )  
**polynomials** (points to  $p_i(t)$ )

- **Common spline:** cubic polynomial:

$$p(t) = at^3 + bt^2 + ct + d$$

- 3 goals of splines:
  - Interpolation
  - Continuity
  - Locality



**Many solutions!**

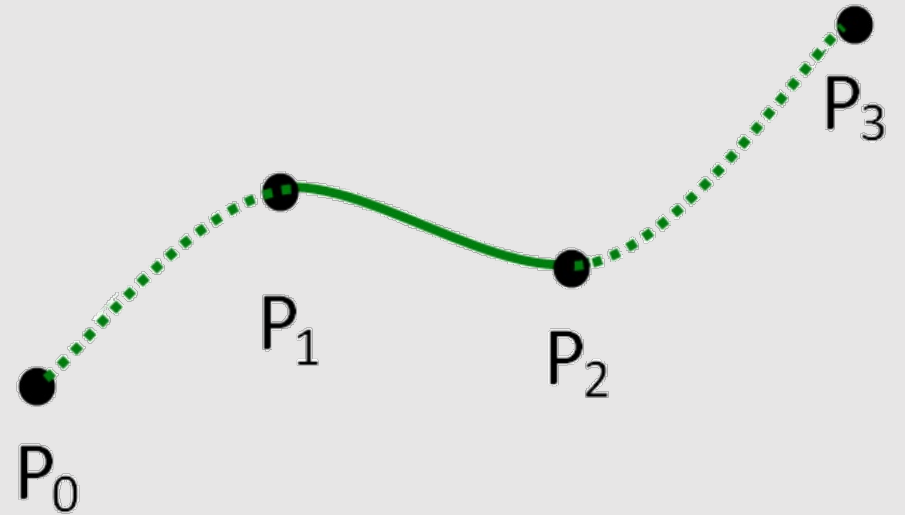


# Interpolation

- **Interpolation:** does the spline pass through the control points

$$f(t_i) = f_i \quad \forall i$$

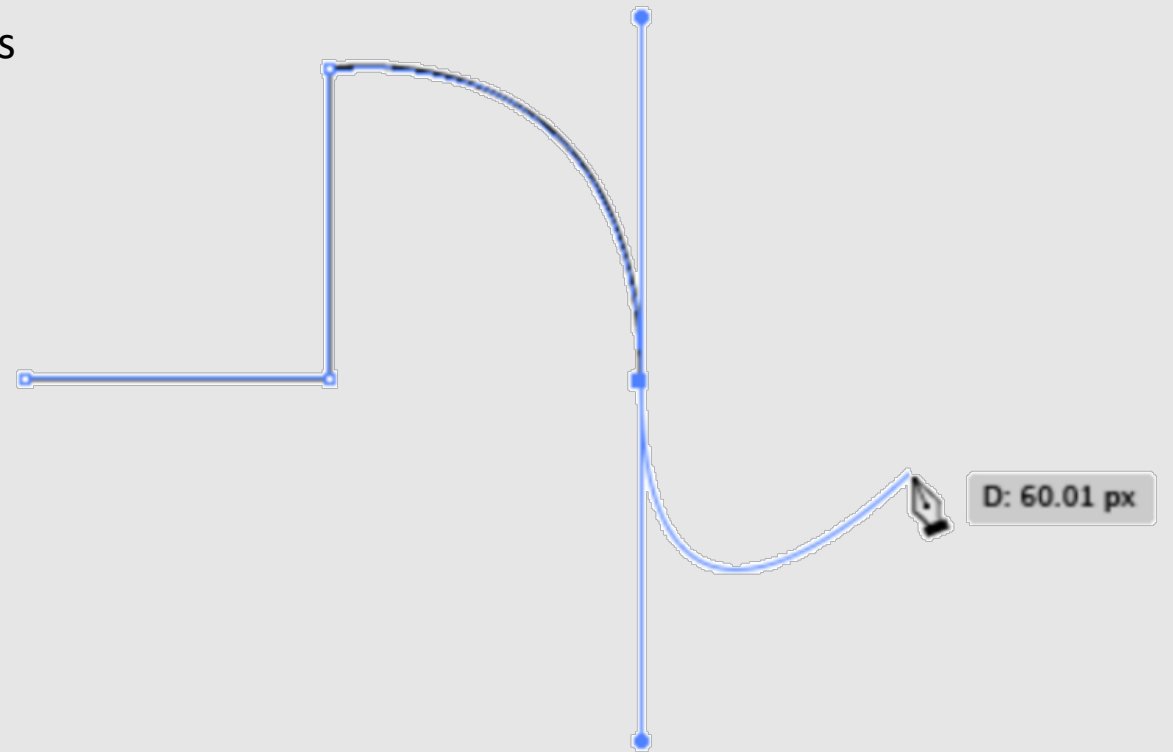
- For every keyframe  $f_i$ , there exists some time  $t_i$  where the interpolation of  $f$  equals the keyframe  $f_i$



# Continuity

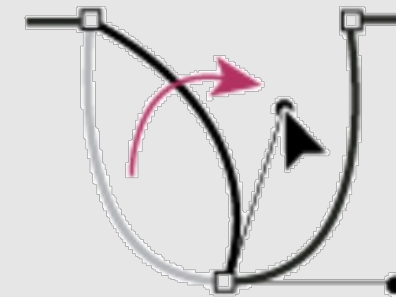
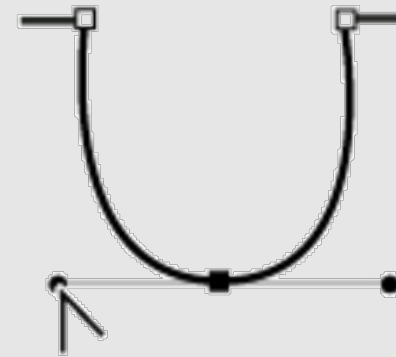
**Continuity:** Continuity comes in levels. We check whether the spline and its derivatives are continuous at the control points.

- C0 continuity – keyframes are continuous
- C1 continuity – first derivative is continuous
- C2 continuity – second derivative is continuous
  
- By default, most applications will have keyframes connect
  - Gives us C0 continuity for free



# Locality

- **Locality:** moving one control point does not modify the whole curve
- Important from a user perspective
  - Need to be able to make small, local changes to spline



# Piecewise Cubic Polynomial

- So why piecewise cubic polynomials?

$$p(t) = at^3 + bt^2 + ct + d$$

- Cubic polynomial coefficients can be broken down into their **keyframe and tangent components**
  - Animator specifies where a curve starts, ends, and the tangents at those points

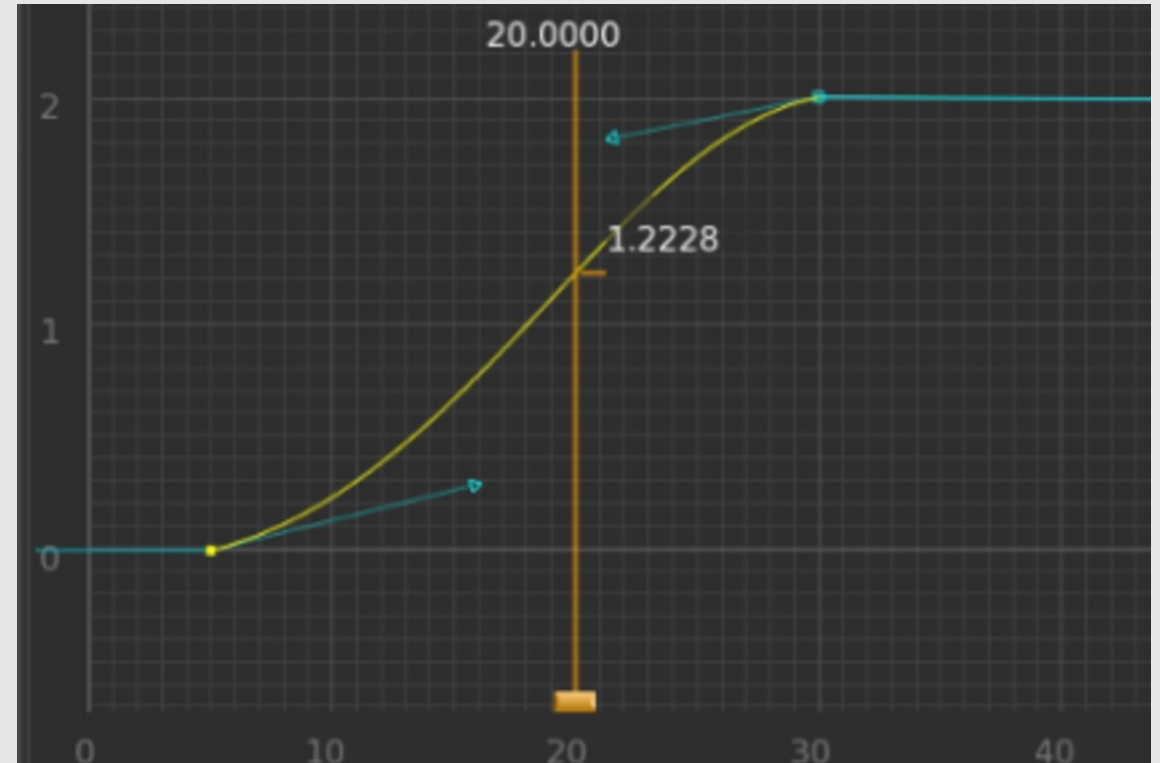
$$p(0) = p_0 \quad \Rightarrow d = p_0$$

$$p(1) = p_1 \quad \Rightarrow a + b + c + d = p_1$$

$$p'(0) = u_0 \quad \Rightarrow c = u_0$$

$$p'(1) = u_1 \quad \Rightarrow 3a + 2b + c = u_1$$

- Gives us 4 constraints
  - Can be turned into 4 coefficients



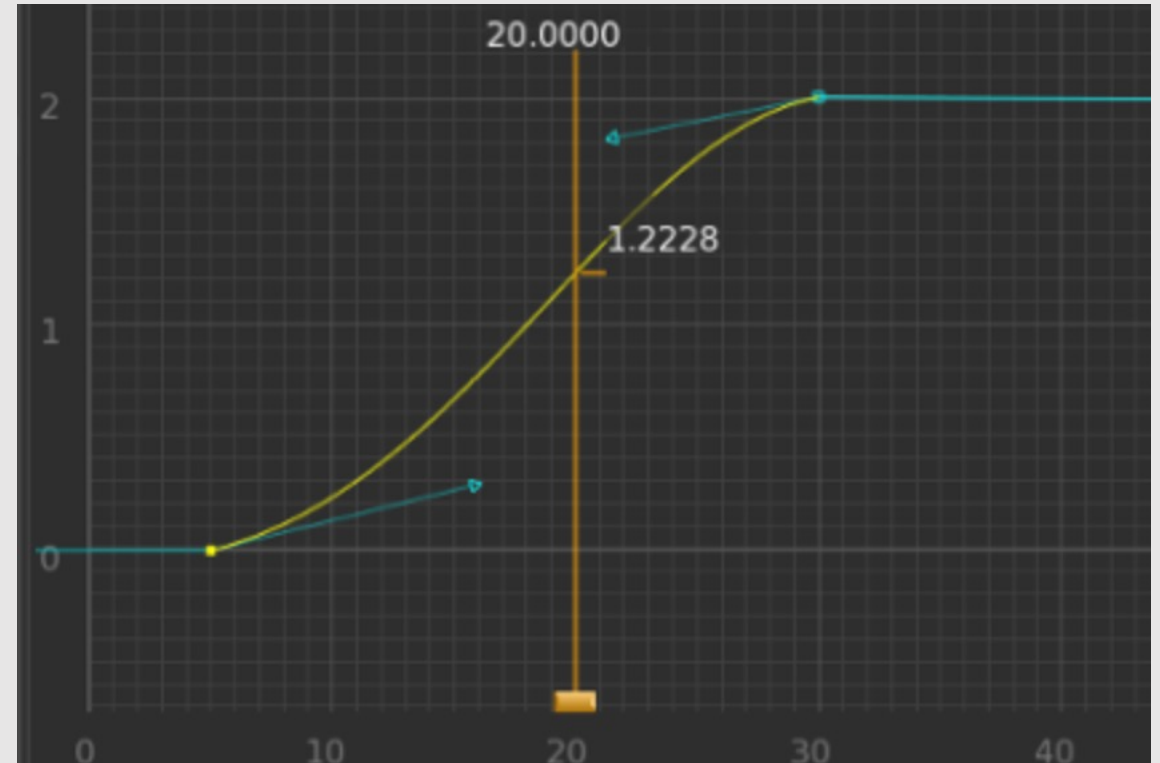
# Piecewise Cubic Polynomial

- Can also write:

$$p(t) = at^3 + bt^2 + ct + d$$

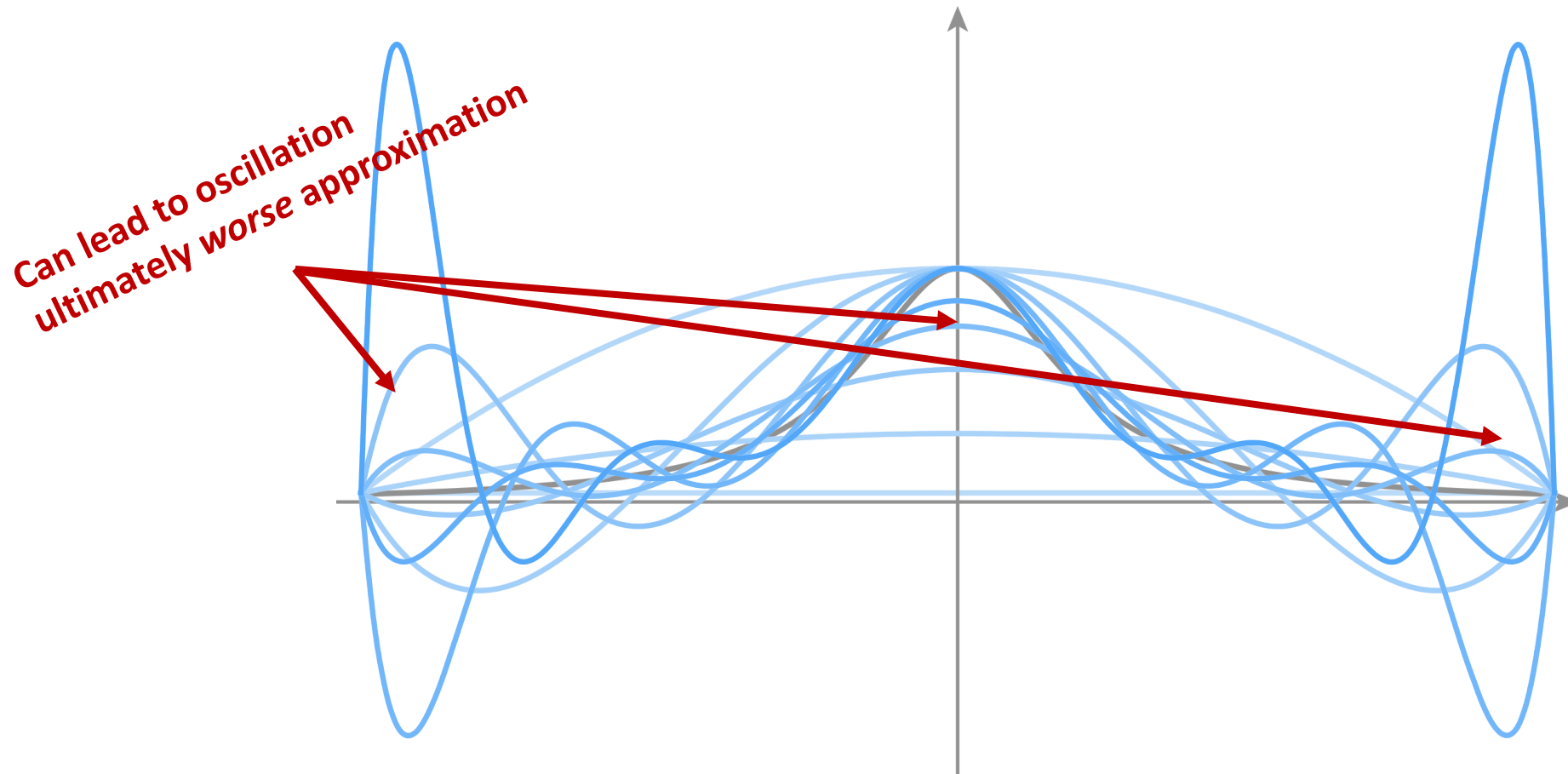
- As a linear system!

$$\begin{bmatrix} 0 & 0 & 0 & 1 \\ 1 & 1 & 1 & 1 \\ 0 & 0 & 1 & 0 \\ 3 & 2 & 1 & 0 \end{bmatrix} \begin{bmatrix} a \\ b \\ c \\ d \end{bmatrix} = \begin{bmatrix} p_0 \\ p_1 \\ u_0 \\ u_1 \end{bmatrix}$$



# Runge Phenomenon

Tempting to use higher-degree polynomials to get higher-order continuity



# Natural Splines

- Can build a spline out of piecewise cubic polynomials  $p_i$ 
  - Each polynomial extends from range  $t = [0,1]$ 
    - Keyframes agree at endpoints [C0 continuity]:

$$p_i(0) = f_0, \quad p_{n-1}(1) = f_n, \quad p_i(1) = p_{i+1}(0) = f_{i+1}, \quad \forall i = 0, \dots, n-2$$

- Tangents agree at endpoints [C1 continuity]:

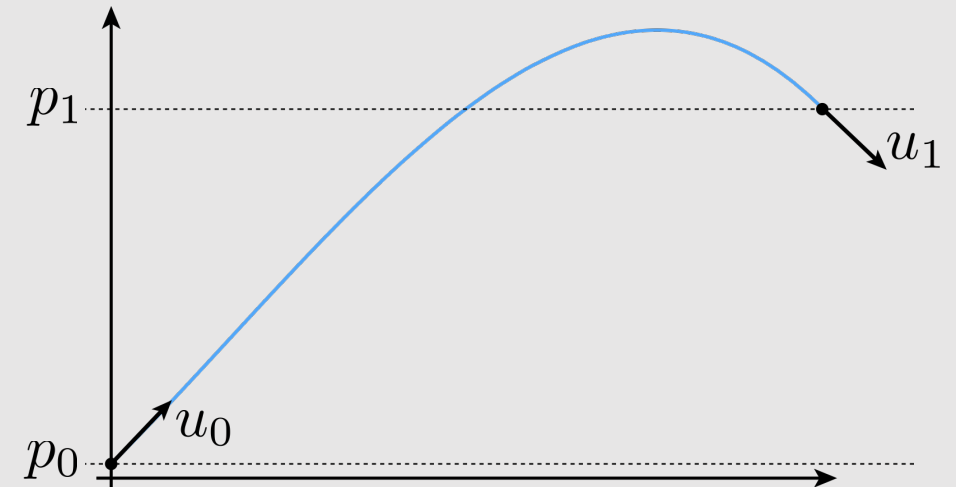
$$p'_i(1) = p'_{i+1}(0), \quad \forall i = 0, \dots, n-2$$

- Curvature agrees at endpoints [C2 continuity]:

$$p''_i(1) = p''_{i+1}(0), \quad \forall i = 0, \dots, n-2$$

- Total equations:
  - $2n + (n-1) + (n-1) = 4n - 2$
- Total DOFs:
  - $2n + n + n = 4n$
- Set curvature at endpoints to 0 and solve

$$p''_0(0) = 0, \quad p''_{n-1}(1) = 0$$



# Natural Splines

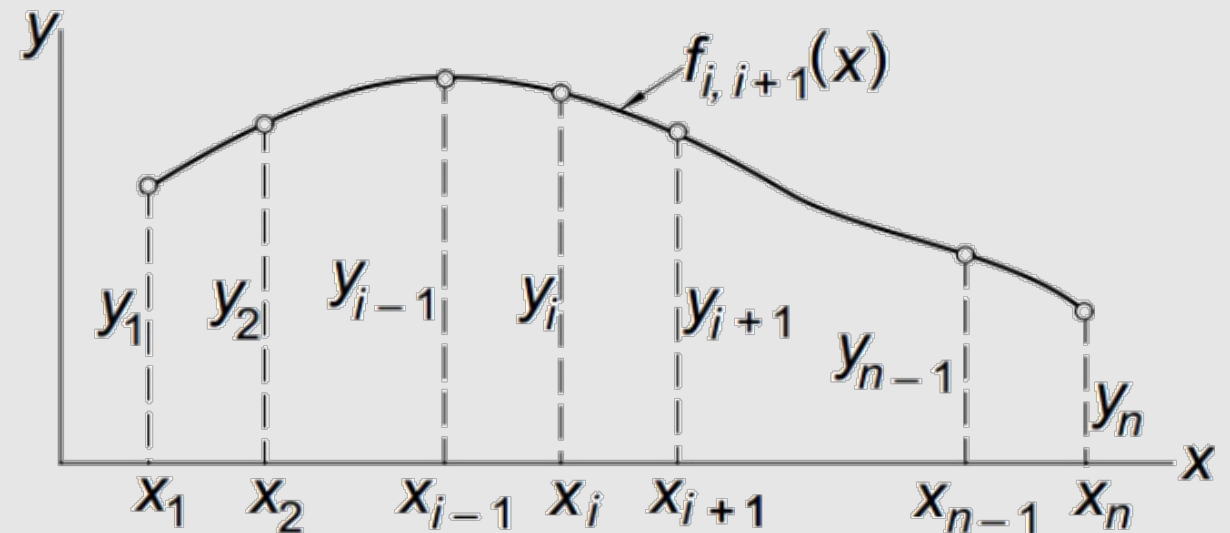
- ✓ **Interpolation:** by definition

$$p_i(0) = f_i, \quad p_i(1) = f_{i+1}, \quad \forall i = 0, \dots, n-1$$

- ✓ **C2 Continuity:** by definition

$$p''_i(1) = p''_{i+1}(0), \quad \forall i = 0, \dots, n-2$$

- ✗ **Locality:** coefficients require us to solve a global linear system
  - Small modification to a keyframe requires resolving the entire system





# Hermite Splines

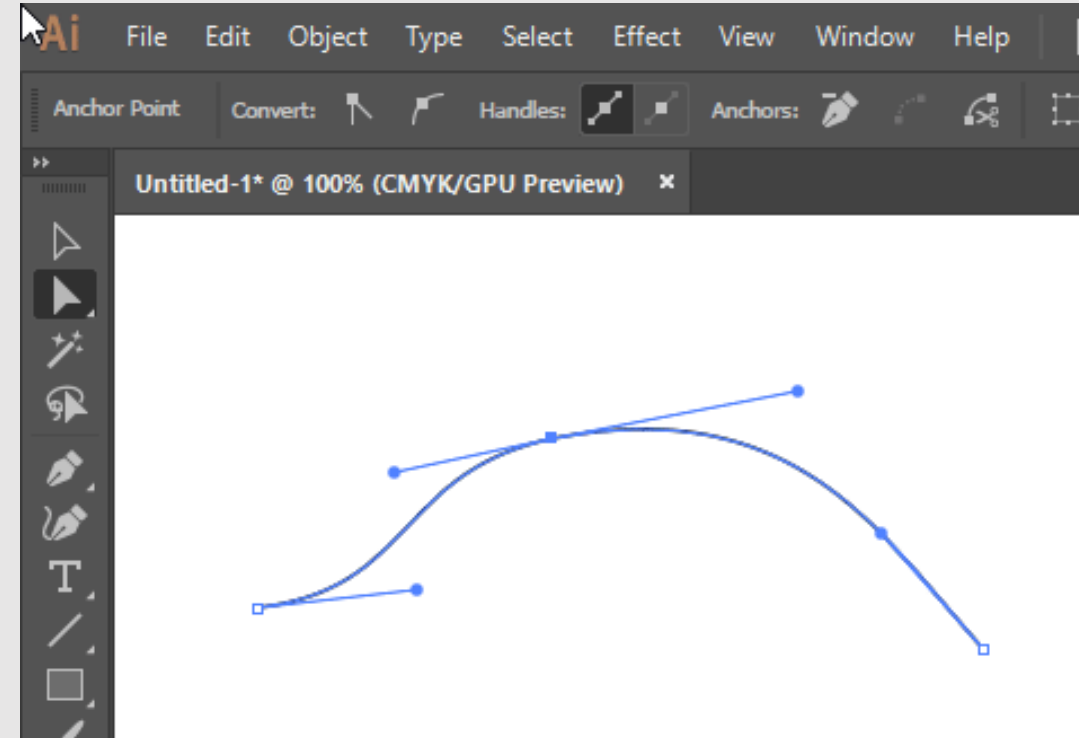
- Each cubic piece specified by endpoints and tangents
  - Keyframes set at endpoints:

$$p_i(0) = f_i, \quad p_i(1) = f_{i+1}, \quad \forall i = 0, \dots, n - 1$$

- Tangents set at endpoint:

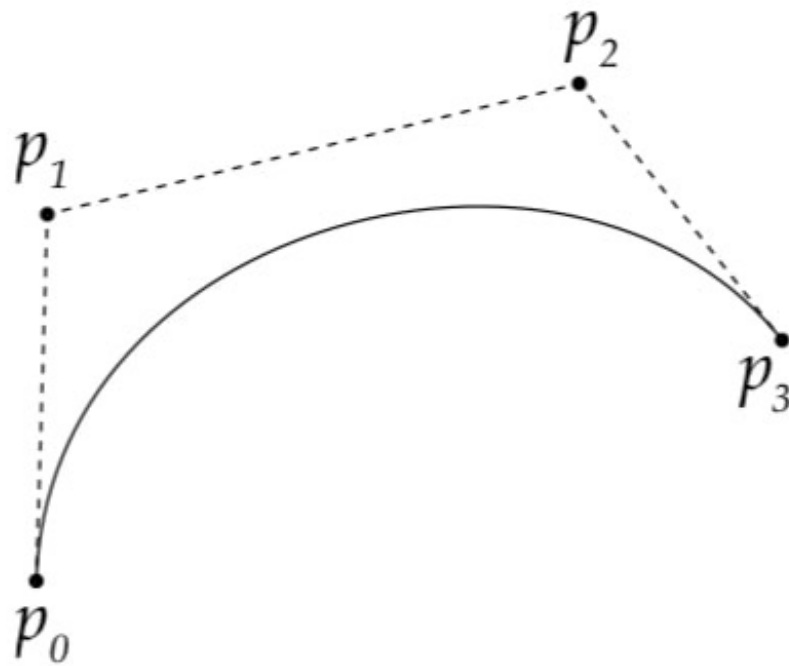
$$p'_i(0) = u_i, \quad p'_i(1) = u_{i+1}, \quad \forall i = 0, \dots, n - 1$$

- Natural splines specify just keyframes
  - Hermite splines specify keyframes and tangents
  - Can get continuity if tangents are set equal
- Total equations:
  - $2n + 2n = 4n$
- Commonly used in vector art programs
  - Illustrator
  - Inkscape
  - SVGs

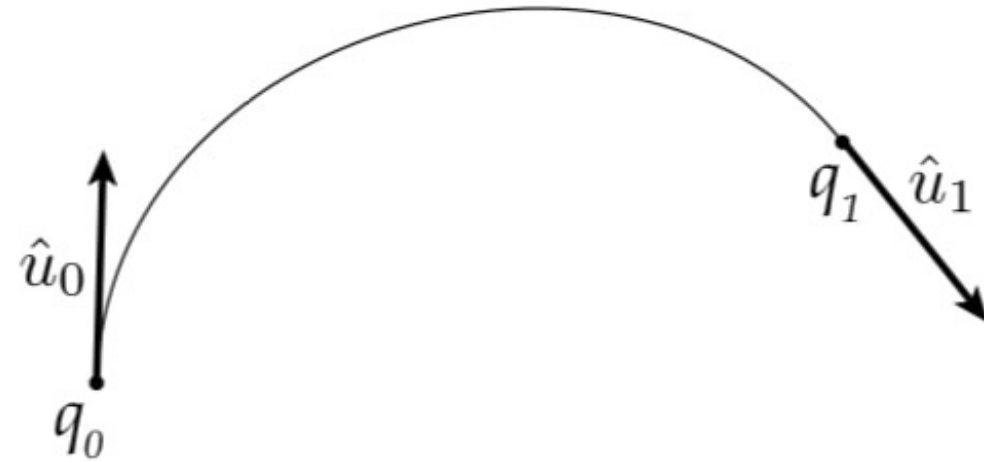


# Hermite vs. Bézier Splines

Hermite curves specify keyframes and tangents, Bezier curves specify control points



**cubic Bézier**

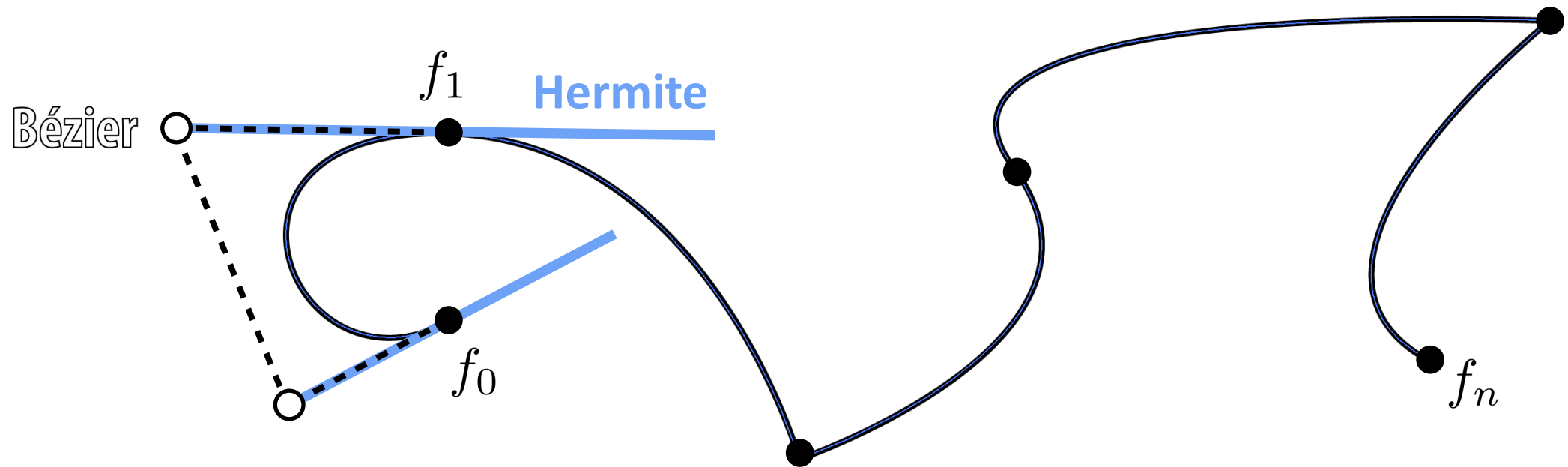


**cubic Hermite**

Same computation and properties! Just a different interface

# Hermite vs. Bézier Splines

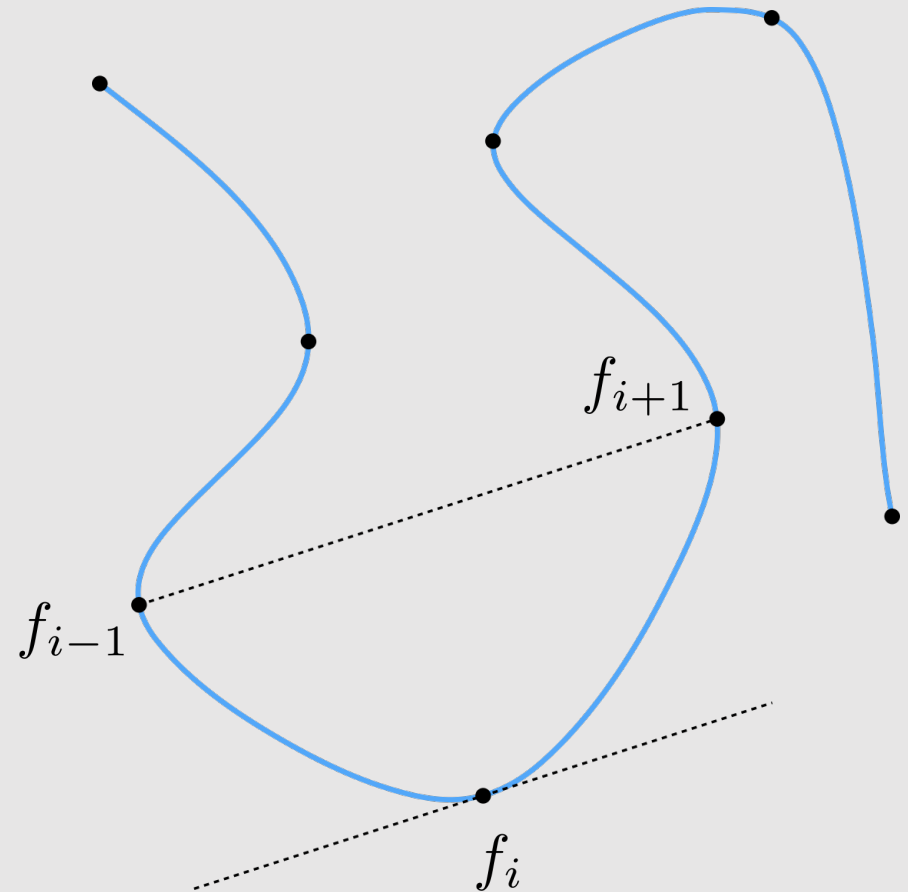
Hermite curves specify keyframes and tangents, Bezier curves specify control points



Same computation and properties! Just a different interface

# Catmull-Rom Splines

- A specialized version of Hermite splines
    - Only need to specify keyframes
    - Tangents computed as:
- $$u_i := \frac{f_{i+1} - f_{i-1}}{t_{i+1} - t_{i-1}}$$
- All the same properties of Hermite splines
  - Commonly used to interpolate motion in computer animation
    - When we have tracking data, but not tangent data
    - Easy to generate tangent data



# Hermite vs. Bézier vs. Catmull-Rom Splines

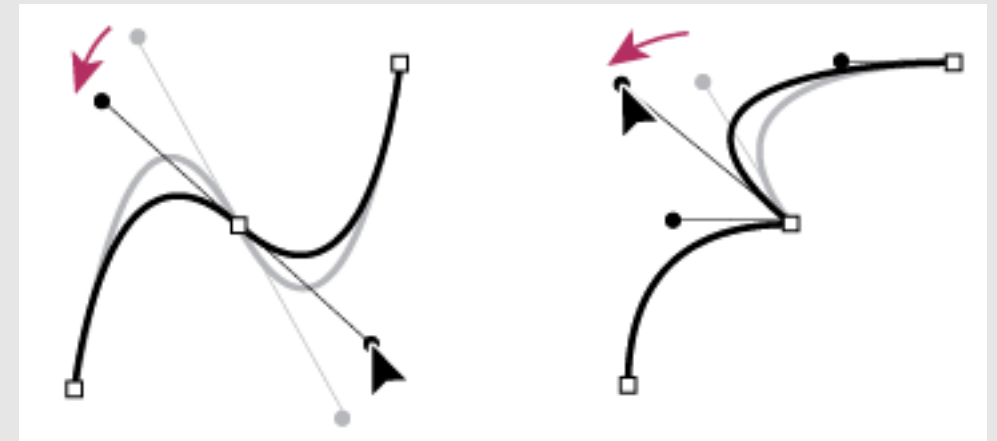
- ✓ **Interpolation:** by definition

$$p_i(0) = f_i, \quad p_i(1) = f_{i+1}, \quad \forall i = 0, \dots, n - 1$$

- ✗ **Continuity:** Can produce splines that are not C2 (or even C1) continuous
  - Tangents do not need to be same values

$$p'_i(0) = u_i, \quad p'_i(1) = u_{i+1}, \quad \forall i = 0, \dots, n - 1$$

- ✓ **Locality:** each cubic polynomial is generated individually
  - Modifications can happen individually
  - Ease of use make it a prime candidate for vector applications



# B-Splines

- Compute a weighted average of nearby keyframes when interpolating

- B-spline basis defined recursively, with base condition:


$$B_{i,1}(t) := \begin{cases} 1, & \text{if } t_i \leq t < t_{i+1} \\ 0, & \text{otherwise} \end{cases}$$

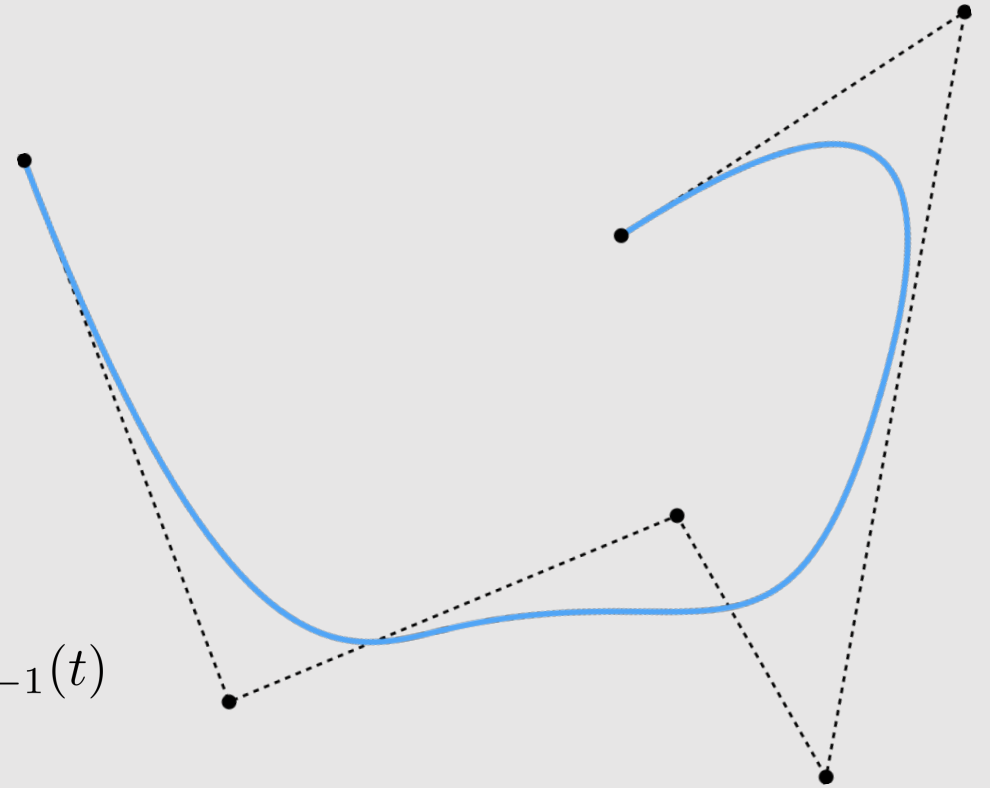
- And inductive condition:

$$B_{i,k}(t) := \frac{t-t_i}{t_{i+k-1}-t_i} B_{i,k-1}(t) + \frac{t_{i+k}-t}{t_{i+k}-t_{i+1}} B_{i+1,k-1}(t)$$

- B-spline is a linear combination of bases:

$$f(t) := \sum_i a_i B_{i,d}$$

**degree** 



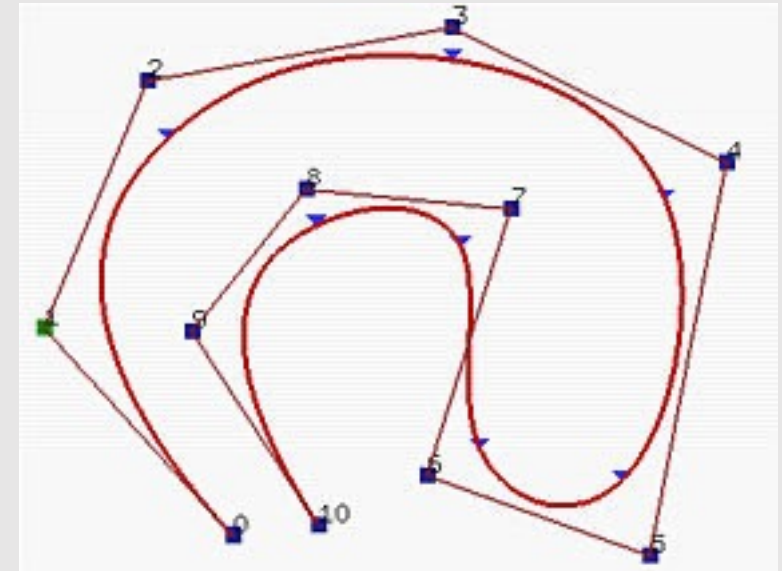
# B-Splines

- **✗ Interpolation:** For higher degrees, splines do not pass through keyframes
- **✓ Continuity:** With higher degrees, bases are twice differentiable

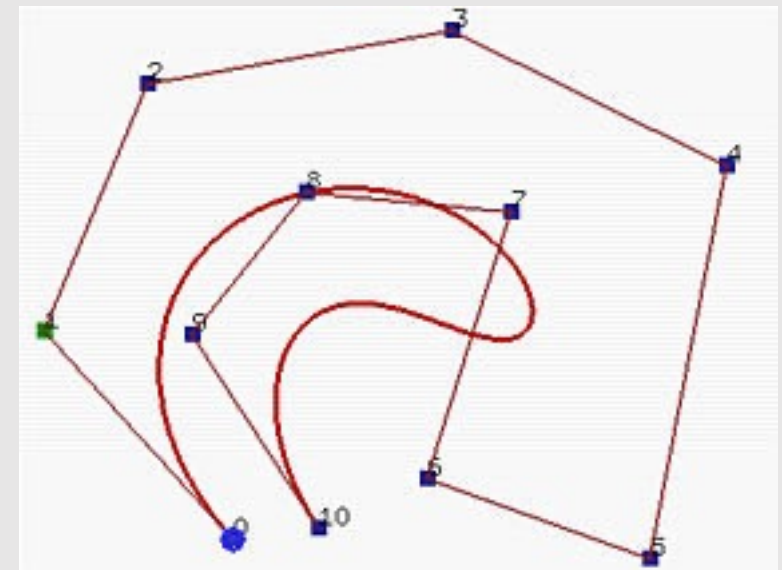
$$B_{i,k}(t) := \frac{t-t_i}{t_{i+k-1}-t_i} B_{i,k-1}(t) + \frac{t_{i+k}-t}{t_{i+k}-t_{i+1}} B_{i+1,k-1}(t)$$

- **✓ Locality:** B-spline bases are a function of the current and next bases

$$B_{i,k}(t) := \frac{t-t_i}{t_{i+k-1}-t_i} B_{i,k-1}(t) + \frac{t_{i+k}-t}{t_{i+k}-t_{i+1}} B_{i+1,k-1}(t)$$



[ lower degree ]



[ higher degree ]

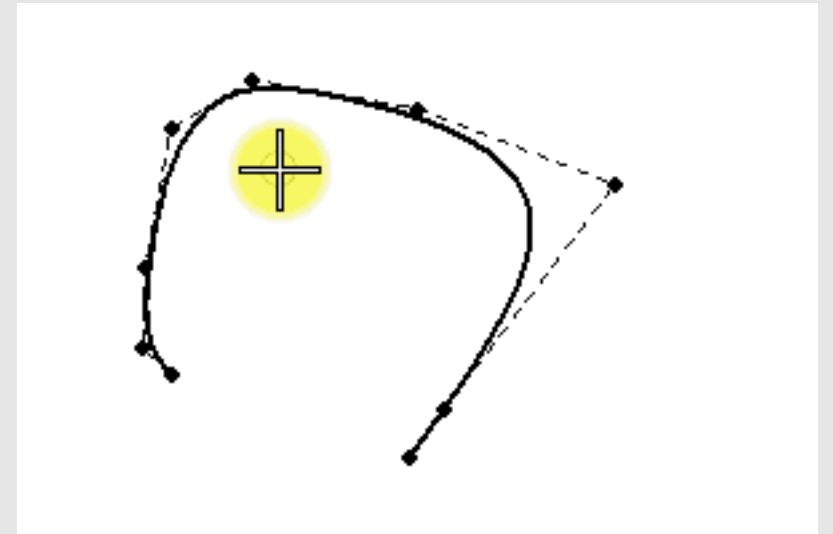
# B-Splines

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- **✓ Locality:** B-spline bases are a function of the current and next bases

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# Splines Review

	[ Interpolation ]	[ Continuity ]	[ Locality ]
Linear	✓	✗	✓
Natural	✓	✓	✗
Hermite	✓	✗	✓
Bezier	✓	✗	✓
Catmull-Rom	✓	✗	✓
B-Spline	✗	✓	✓

# Splines Review

	[ Interpolation ]	[ Continuity ]	[ Locality ]
Linear	✓	✗	✓
Natural	✓	✓	✗
Hermite	✓	✗	✓
Bezier	✓	✗	✓
Catmull-Rom	✓	✗	✓
B-Spline	✗	✓	✓

**NO PERFECT SPLINE!**

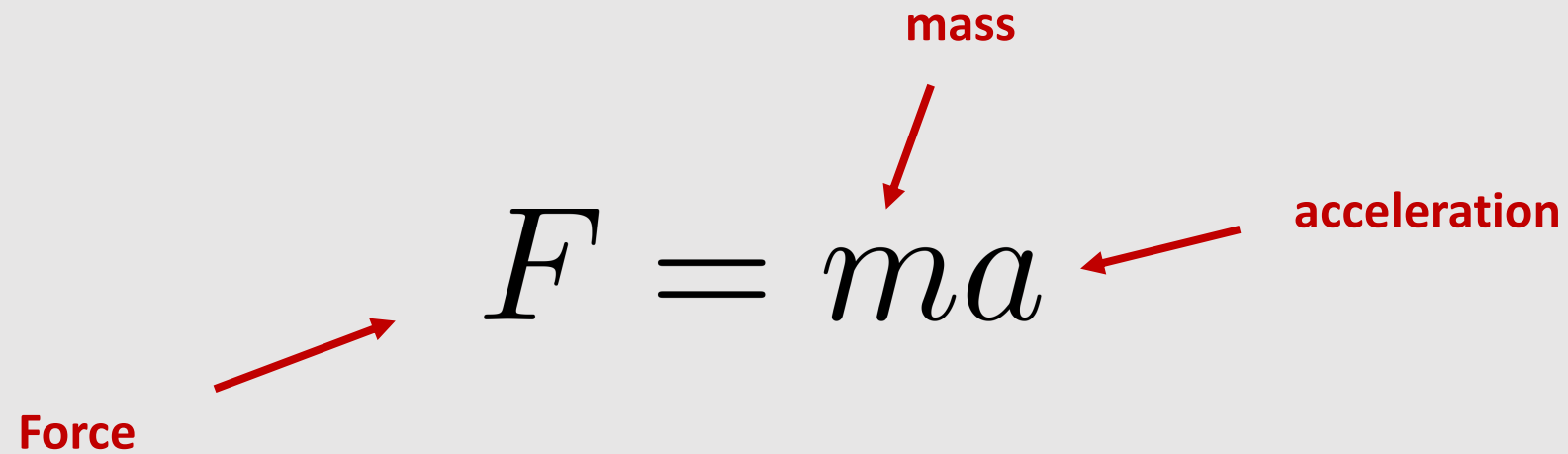
- ~~Splines~~

- **Forward Kinematics**

- Inverse Kinematics

We saw the rendering equation,  
But what is the animation equation?

# The Animation Equation



The diagram shows the equation  $F = ma$  in a serif font. Three red arrows point from text labels to the variables: one from 'Force' to 'F', one from 'mass' to 'm', and one from 'acceleration' to 'a'.

$$F = ma$$

Force

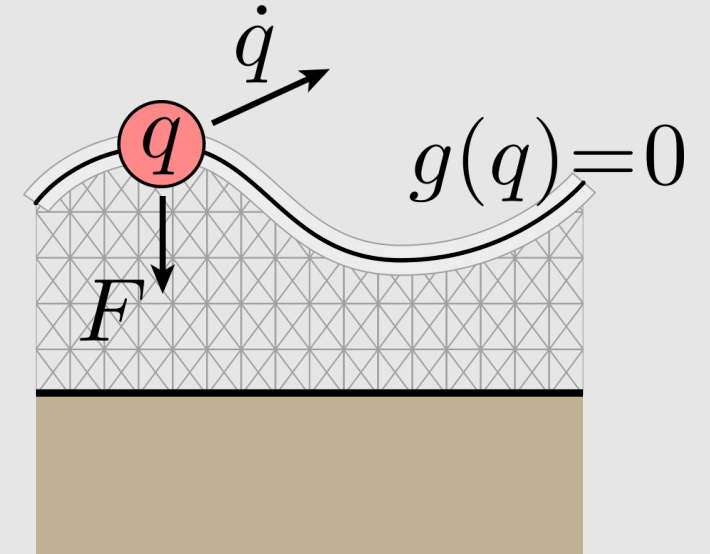
mass

acceleration

It's a little more complicated than just this...

# An Animation System

- Component of an animation system:
  - Object's mass
  - Object's configuration
  - Object's velocity
  - Object's acceleration
  - Forces acting on object
  - Set of constraints
- Configuration  $q(t)$  is time dependent
  - Can use splines to interpolate control points (keyframes)

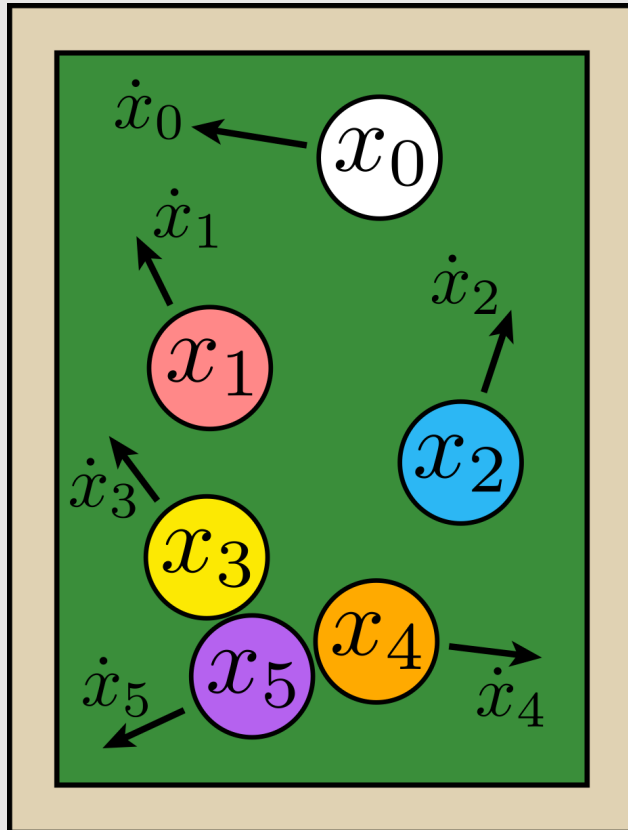


$$\dot{q} := \frac{d}{dt} q$$

$$g(q, \dot{q}, t) = 0$$

$$\ddot{q} = F/m$$

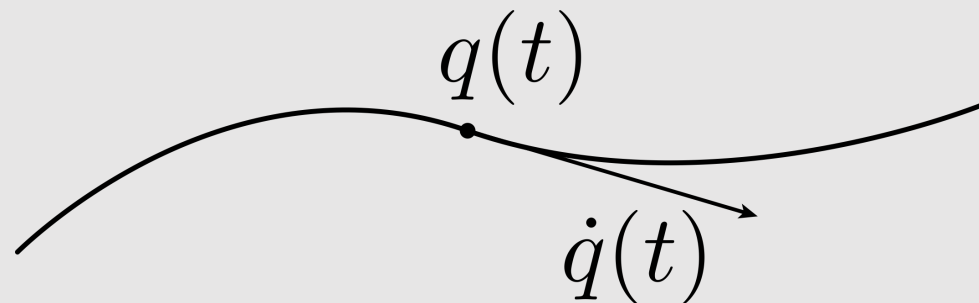
# An Animation System



- Common to describe system with many moving pieces
  - Ex: a collection of billiard balls
  - Can collect into a single configuration:

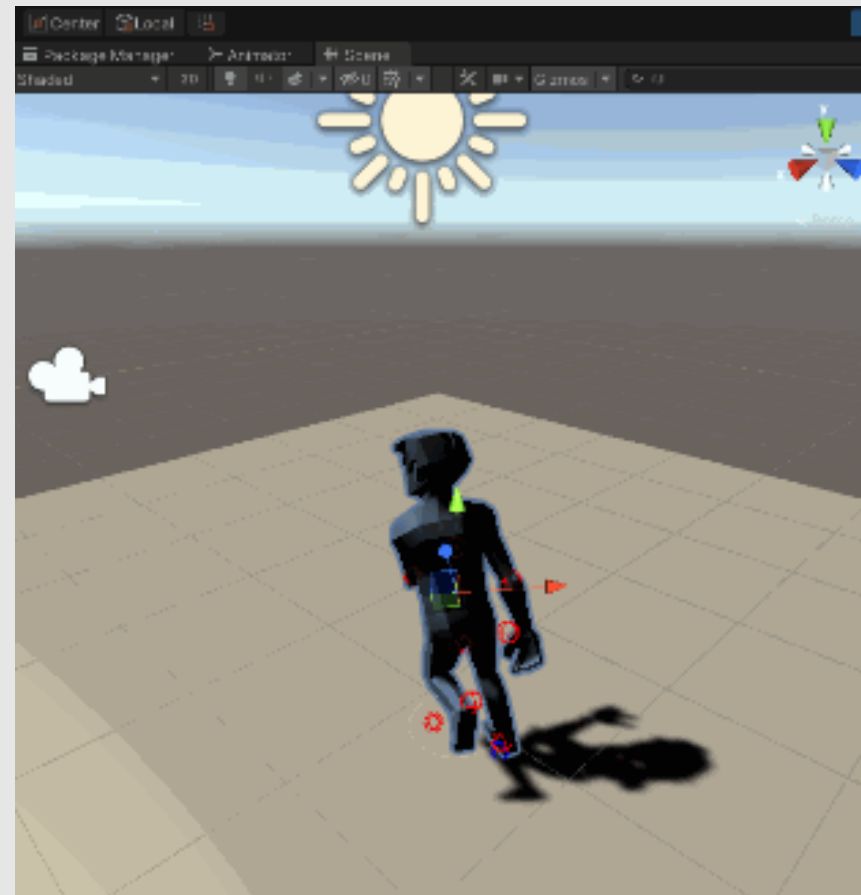
$$q = (x_0, x_1, \dots, x_n)$$

- Naturally maps to the way we actually solve equations on a computer
  - All variables stacked into a vector and handed to a solver



# Character Animation

- Configuration of a character is the configuration of all their individual joints
- Keyframes save poses of characters
  - **Goal:** use splines to interpolate between poses of a character
    - Natural splines
    - Hermite splines
    - B-splines
- **Problem:** what is an efficient, user-friendly way of setting character poses?



3D Animation in Unity (2020) Ing Jileček



# Motion Capture

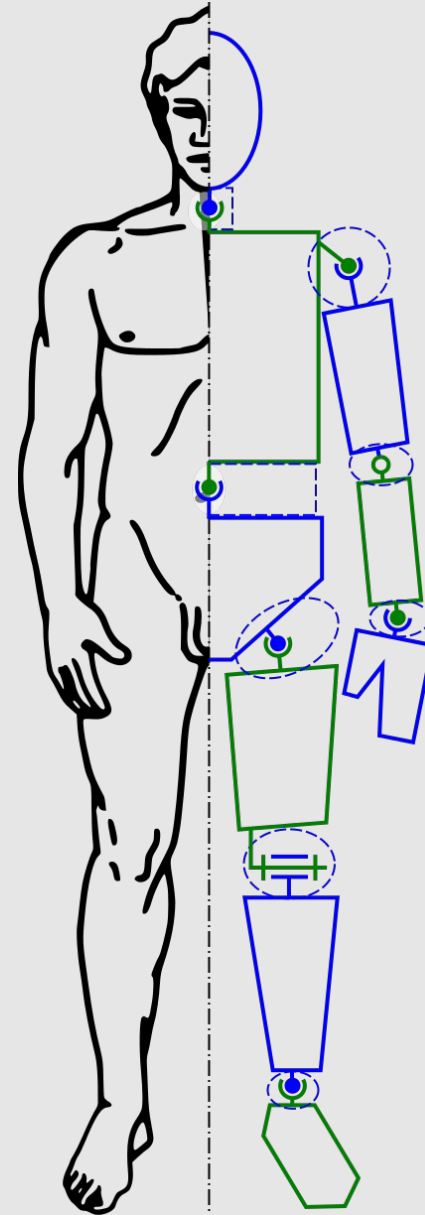
- Just take videos of real life poses
  - Map to character model
- Data can get very messy
  - Same idea as capturing a point cloud
- [ + ] Easy to understand
- [ + ] Capture real-life poses
- [ - ] Expensive to purchase
- [ - ] Very noisy data
- [ - ] Requires a lot of cleanup



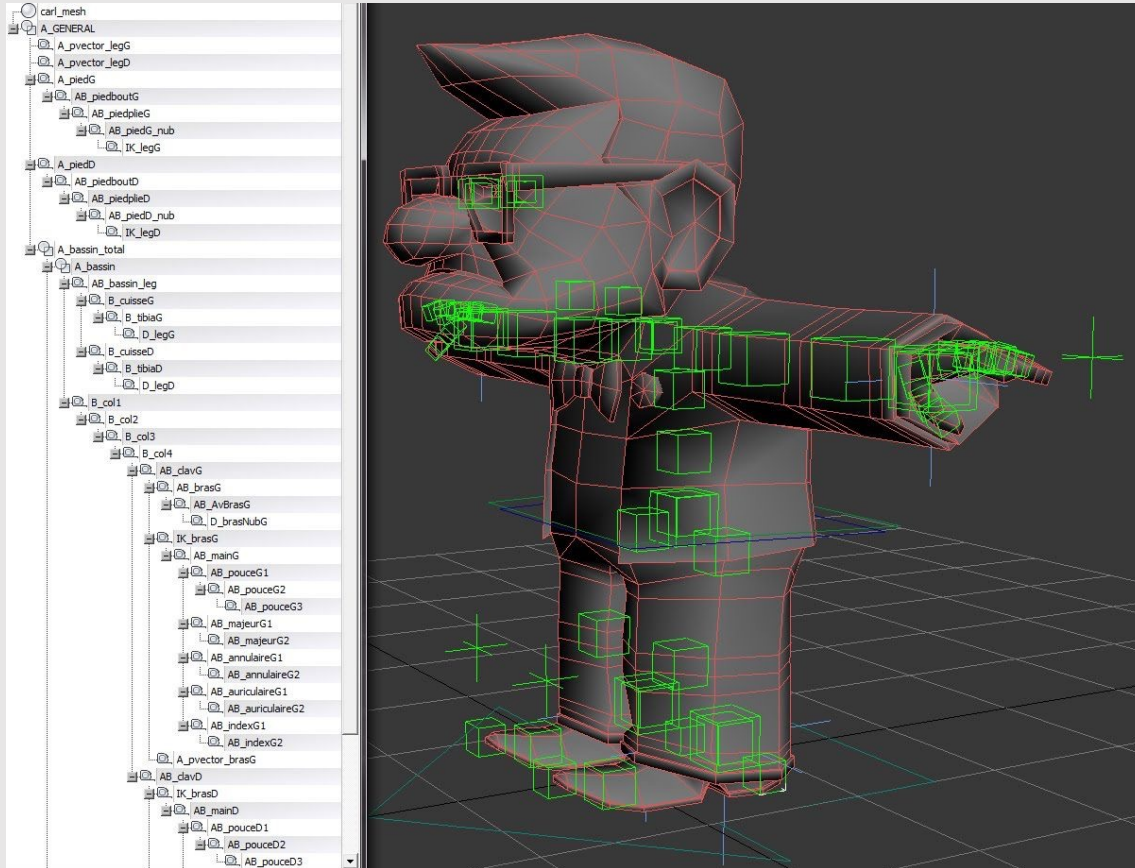
The Hobbit (2012) Peter Jackson

# The Human Rig

- Many systems well-described by a kinematic chain
  - Collection of rigid bodies, connected by joints
  - Joints have various behaviors
    - Ball (shoulder)
    - Piston (neck)
    - Hinge (elbow)
  - Also have constraints (e.g., range of angles)
    - Human neck can't rotate around fully
    - Owl necks can!
  - Hierarchical structure (body → leg → foot)
- In animation, often called a **character rig**
  - Character rigs are **scene graphs!**



# Character Rigging



Up (2009) Pixar

- Character rigging is a separate job from character modeling and character animation
  - Focuses on:
    - Optimal joint placement
    - Joint angle extent
    - Joint hierarchy
- Not all human rigs are the same!
  - Depends on character model proportions/movements



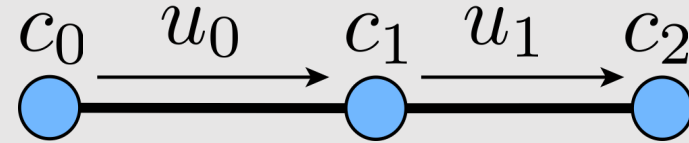
**Rigging Artist**  
Sony Pictures Animation  
Culver City, CA  
via Greenhouse

Full-time No degree mentioned

How do we animate a rig?

# Forward Kinematics

- Consider moving the hand  $c_2$ 
  - Rotate shoulder (moves  $c_1$  and  $c_2$ )
  - Then rotate elbow (moves  $c_2$ )



- New elbow position  $p_1$  computed as:

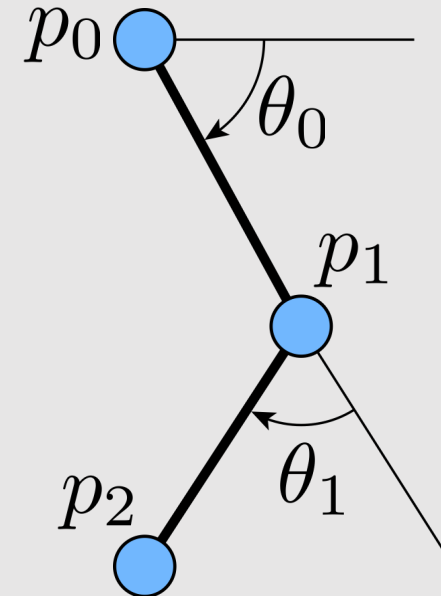
$$p_1 = p_0 + \begin{bmatrix} \cos \theta_0 & \sin \theta_0 \\ -\sin \theta_0 & \cos \theta_0 \end{bmatrix} u_0$$

- Can also be written as:

$$p_1 = p_0 + e^{i\theta_0} u_0$$

- New hand position  $p_2$  computed as:

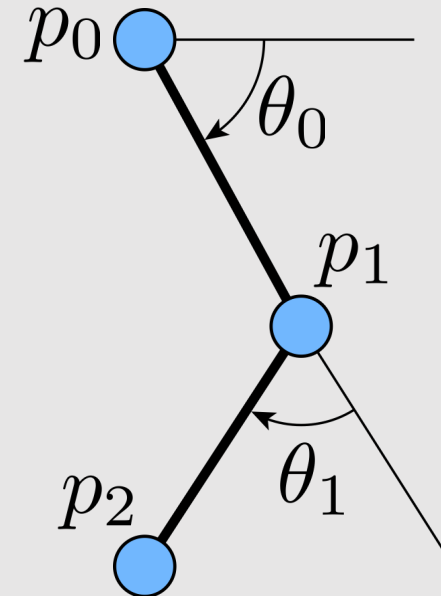
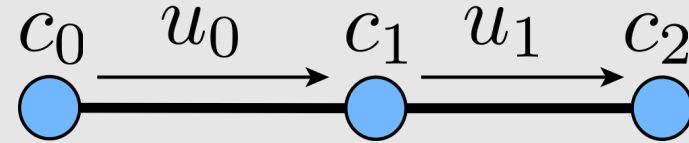
$$p_2 = p_0 + e^{i\theta_0} u_0 + e^{i\theta_0} e^{i\theta_1} u_1$$



# Forward Kinematics

- Consider moving the hand  $c_2$ 
  - Rotate shoulder (moves  $c_1$  and  $c_2$ )
  - Then rotate elbow (moves  $c_2$ )
- Can also be written as as series of rotations and translations:

$$p_2 = T(u_1) R(\theta_1) T(u_0) R(\theta_0) p_0$$

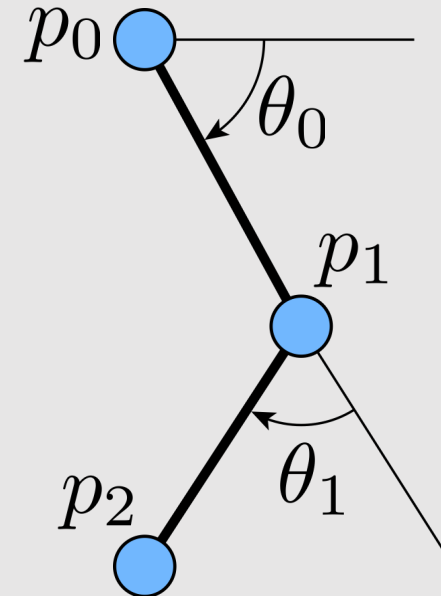
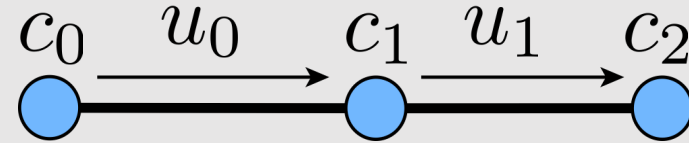


# A Note About Spaces

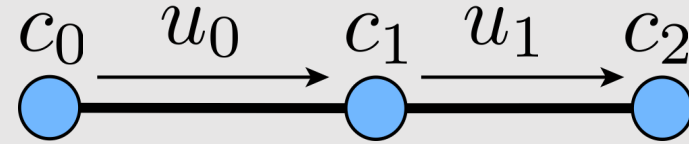
- **World Space:** absolute coordinate space
- **Local Space:** the model's space
  - Often use the rig's center as the origin
- **Bone Space:** For a given bone  $i$ , the origin is the bone's base point and the axes are rotated by its rotations and all the parent rotations before it
  - **Bind Space:** a form of Bone Space, but no rotations, just translations
    - Think of Bind Space as the model in T-pose position with no rotations applied, just the offsets
  - **Pose Space:** a form of Bone Space, with both rotations and translations applied
    - Think of it as the model that is posed with rotations

$$c_2 = T(u_1) T(u_0) c_0$$

$$p_2 = T(u_1) R(\theta_1) T(u_0) R(\theta_0) p_0$$



# A Note About Spaces



*these will be flipped*

- In Scotty3D, we give you points in either Bind or Pose Space, and you need to compute the transformation to Local Space
  - Just involves flipping the order of matrices

- Bind-to-Local:

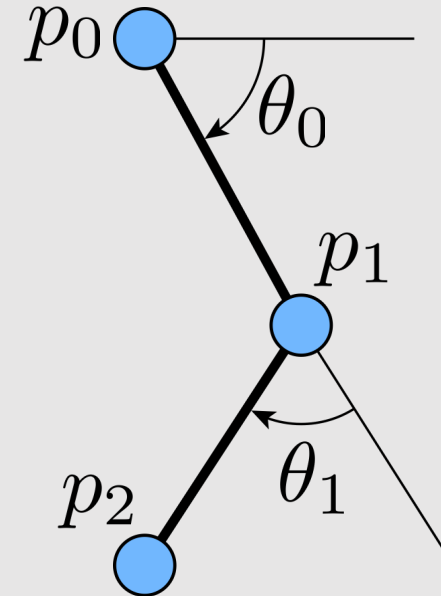
$$c_0 = T(u_0) T(u_1) c_2$$

- Pose-to-Local:

$$p_0 = R(\theta_0) T(u_0) R(\theta_1) T(u_1) R(\theta_2) p_2$$

*need to undo p2's orientation*

- Rotations and transformations will be saved as child-to-parent
    - No need to invert





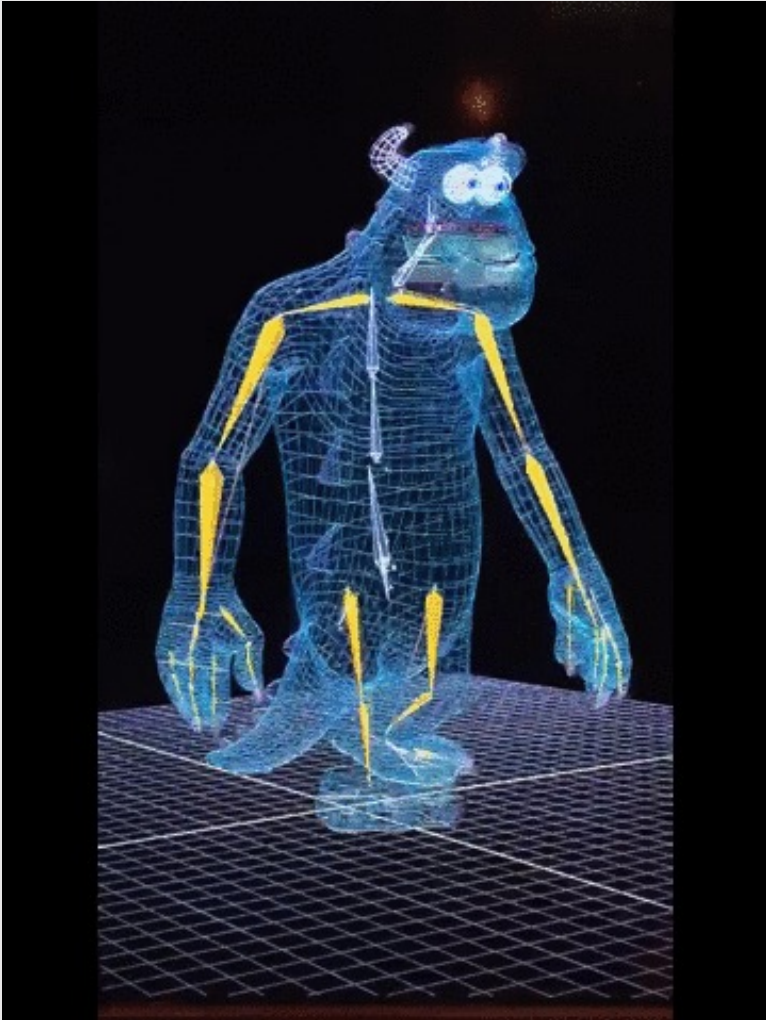
# Forward Kinematics

- [ + ] Computationally efficient
  - [ + ] Easy interface to work with
  - [ + ] Explicit control over every joint
  - [ - ] Produces rigid animations
  - [ - ] Hard to model real-world motions
  - [ - ] Requires more keyframes
- 
- Results often look robot-like



Big Hero 6 (2014) Disney

# Linear Blend Skinning



Monster's Inc (2001) Pixar

- Vertices track with bones
  - Known as blend skinning
- For each vertex  $i$ , compute weights  $w_{ij}$  for each bone  $j$ 
  - Weights are normalized for each vertex

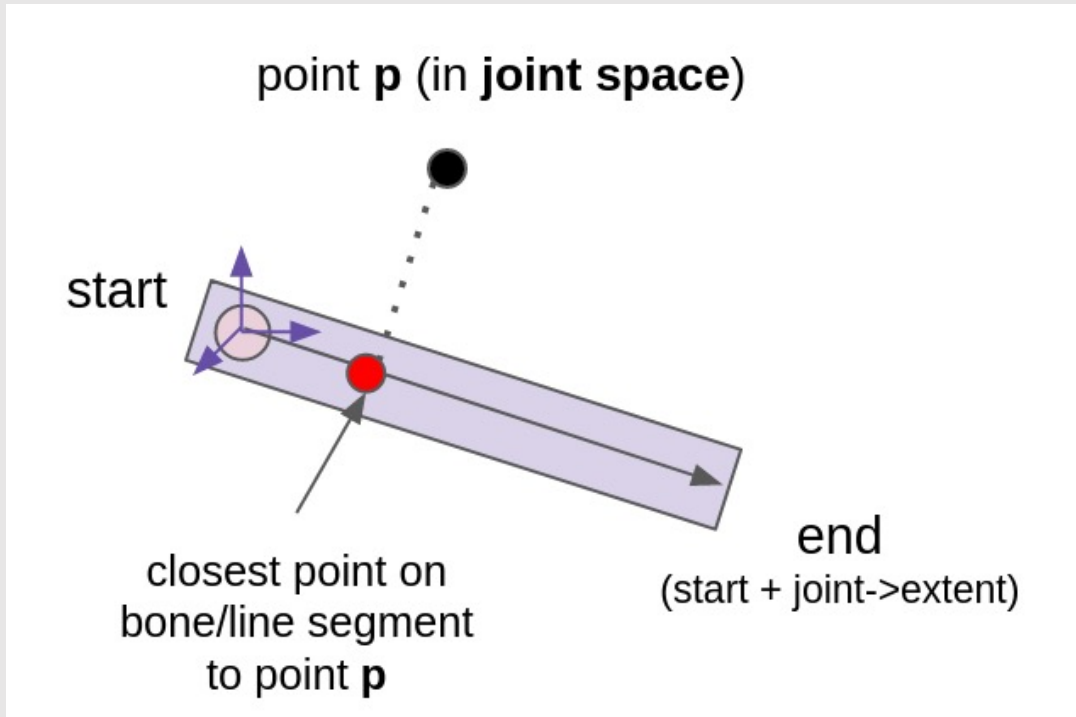
$$\sum_j w_{ij} = 1$$

- Weights average transforms of each bone to compute posed vertex position  $v'_i$  from bind vertex  $v_i$

$$v'_i = \sum_j (w_{ij} P_j B_j^{-1}) v_i$$

- $P_j$  is bone  $j$ 's bone-to-pose transform
- $B_j$  is bone  $j$ 's bone-to-bind transform
  - It should type-check : )

# Computing Weights



- $r$  is the radius of the bone
- $d_{ij}$  is the distance between  $v_i$  and its closest projection onto the bone

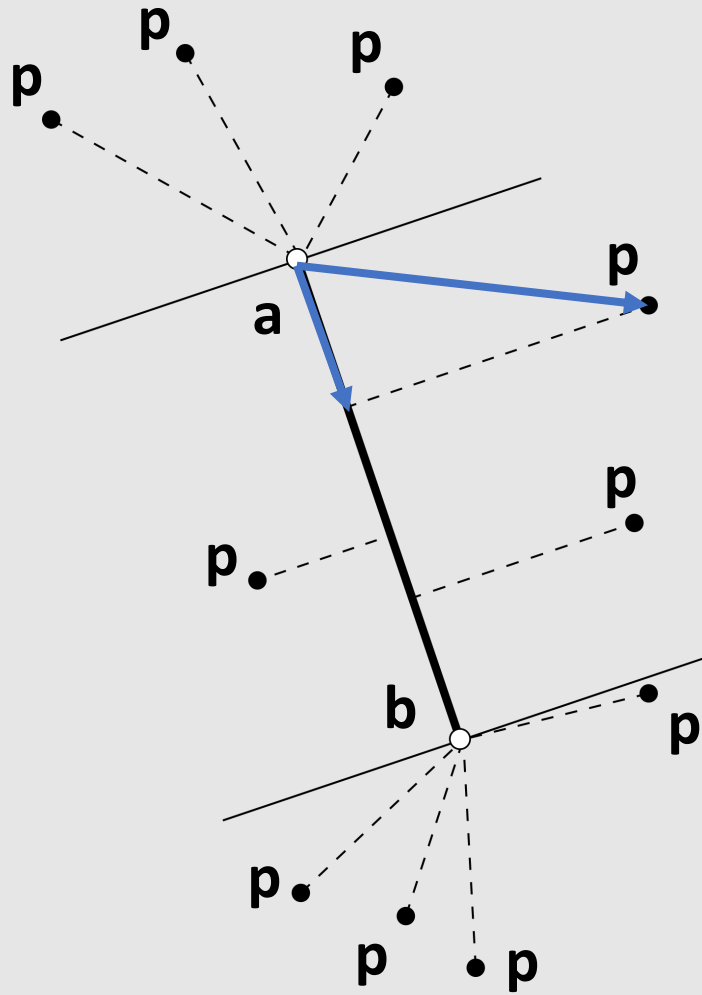
$$\hat{w}_{ij} = \frac{\max(0, r - d_{ij})}{r}$$

*Why do we need r?*

- Make sure to normalize weights

$$w_{ij} = \frac{\hat{w}_{ij}}{\sum_j \hat{w}_{ij}}$$

# Review: Closest Point on a Line Segment



Compute the vector  $\mathbf{p}$  from the line base  $\mathbf{a}$  along the line

$$\langle \mathbf{p} - \mathbf{a}, \mathbf{b} - \mathbf{a} \rangle$$

Normalize to get a time

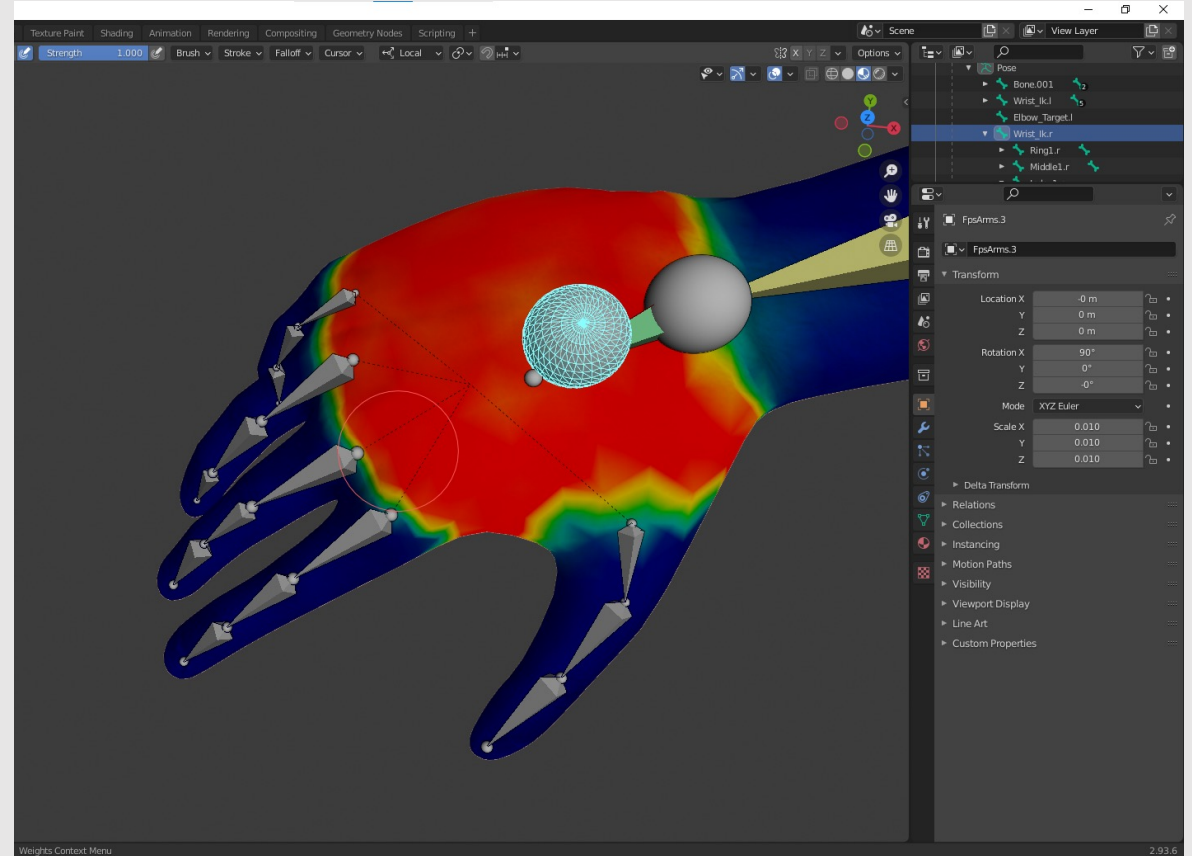
$$t = \frac{\langle \mathbf{p} - \mathbf{a}, \mathbf{b} - \mathbf{a} \rangle}{\langle \mathbf{b} - \mathbf{a}, \mathbf{b} - \mathbf{a} \rangle}$$

Clip time to range  $[0,1]$  and interpolate

$$\mathbf{a} + (\mathbf{b} - \mathbf{a})t$$

# Weight Painting

- Computer animation applications allow you to specify weights on your own
  - Known as **weight painting**
- UI uses color to illustrate magnitude of each vertex/bone pair
- Part of the rigging pipeline



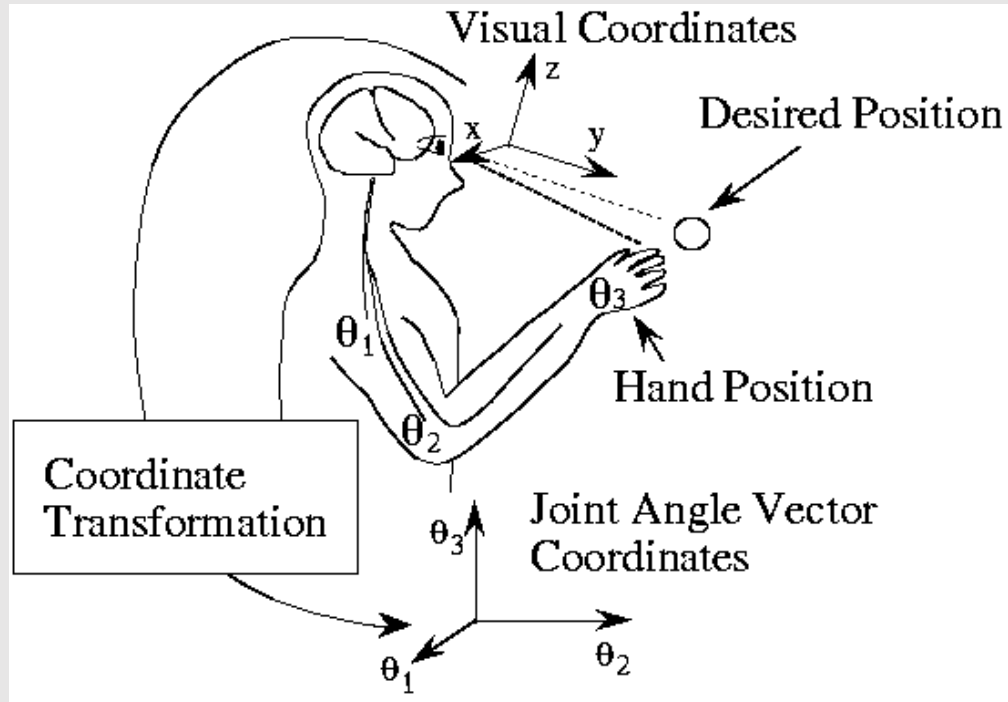
Blender (2021) Ton Roosendaal

- ~~Splines~~

- ~~Forward Kinematics~~

- Inverse Kinematics

# How Humans Move



- We don't think about the movement of each individual joint
  - Instead, we think about a part of our body, and where we want it to go
    - Our body solves for the correct movements
    - **Ex:** hand moves to reach a doorknob
- **No unique solution**
  - Many ways to catch a ball
- What if our rig behaved a similar way...

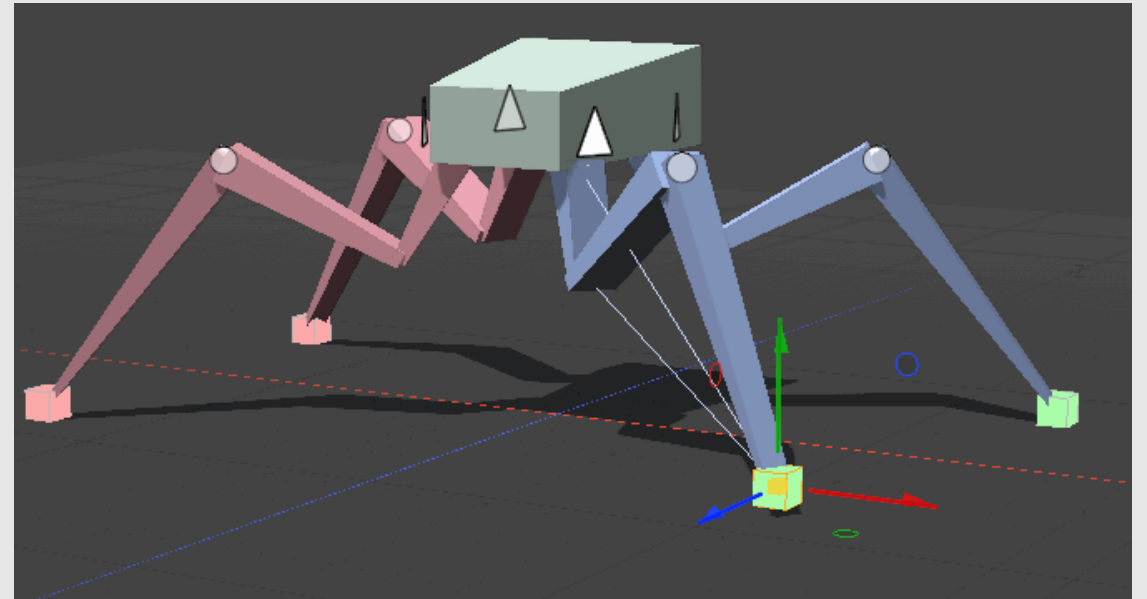
# Inverse Kinematics

- Identify a bone on the rig  $i$  and a handle  $h$  that it should reach for
  - Can try to satisfy multiple targets  $(i, h)$
- Loss function  $f(q)$  for rig configuration  $q$  is:

$$f(q) = \sum_{(i,h)} \frac{1}{2} |p_i(q) - h|^2$$

- Where  $p_i(q)$  is the position of the end of bone  $i$
- **Goal:** compute the gradient  $\nabla f(q)$ 
  - Gradient represents how changing each joint will change the loss function
  - Apply gradient descent with some timestep  $\tau$ :

$$q = q - \tau \nabla f(q)$$



Foundry (2020) Foundry Hub



# Inverse Kinematic Gradient

$$\frac{df}{d\theta_k^y} = \frac{d}{d\theta_k^y} \sum_{(i,h)} \frac{1}{2} |p_i(q) - h|^2$$

Take gradient with respect to function

$$\frac{df}{d\theta_k^y} = \sum_{(i,h)} (p_i(q) - h) \frac{dp_i}{d\theta_k^y}$$

Expand  $p_i$  into transformations. Each rotation in 3D is axis-aligned

$$\frac{dp_i}{d\theta_k^y} = \frac{d}{d\theta_k^y} \left[ \prod_{j=0, i-1} R(\theta_j^z) R(\theta_j^y) R(\theta_j^x) T(u_j) \right] R(\theta_i^z) R(\theta_i^y) R(\theta_i^x) u_i$$

Gradient breaks down into 3 parts:

$$\frac{dp_i}{d\theta_k^y} = \underbrace{R(\theta_0^z) R(\theta_0^y) R(\theta_0^x) T(u_0) \dots R(\theta_k^z)}_{\text{[ linear transformation ]}} \underbrace{\frac{d}{d\theta_k^y} R(\theta_k^y)}_{\text{[ derivative ]}} \underbrace{R(\theta_k^x) T(u_i) \dots R(\theta_i^z) R(\theta_i^y) R(\theta_i^x) u_i}_{\text{[ transformed point ]}}$$

# Inverse Kinematic Gradient

$$\frac{dp_i}{d\theta_k^y} = ???$$

**Fun fact:** by transforming the axis of rotation and base point to local coordinates, Then the derivative of the rotation  $R(\theta_k^y)$  by amount  $\theta_k^y$  around axis  $y$  and center  $r$  of point  $p$  becomes:

$$\frac{dp_i}{d\theta_k^y} = y \times (p - r)$$

constant for a given handle



$$p = [\text{linear transformation}] [R(\theta_k^y)] [\text{transformed point}]$$

specific to the current joint



$$r = [\text{linear transformation}'] [0,0,0]$$

$$y = ([\text{linear transformation}'] [R(\theta_k^z)]) . \text{rotate}(\theta_k^y)$$

# Inverse Kinematic Gradient

- Note: all joints that come before joint  $k$  can also contribute to the movement of joint  $k$ 
  - **Example:** moving your shoulder moves your hand
- Need to also compute how every joint prior to joint  $k$  affects the movement of joint  $k$ 
  - Gives us a gradient for each joint in range  $[0 - k]$

$$\nabla f_k^y = (p_i(q) - h) \cdot [y_k \times (p_i(q) - r_k)]$$

$$\nabla f_{k-1}^y = (p_i(q) - h) \cdot [y_{k-1} \times (p_i(q) - r_{k-1})]$$

$$\nabla f_{k-2}^y = (p_i(q) - h) \cdot [y_{k-2} \times (p_i(q) - r_{k-2})]$$

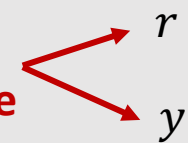
...

$$\nabla f_0^y = (p_i(q) - h) \cdot [y_0 \times (p_i(q) - r_0)]$$

**constant for a  
given handle**



**specific to the  
current joint**



# Inverse Kinematic Gradient

- Each joint  $k$  will have its own vector gradient  $\frac{df}{d\theta_k} = \left\langle \frac{df}{d\theta_k^x}, \frac{df}{d\theta_k^y}, \frac{df}{d\theta_k^z} \right\rangle$ 
  - Same process for computing each component, just use  $x_k$ ,  $y_k$ , or  $z_k$
- What if we have multiple target pairs  $(i, h)$ ?
  - Gradient becomes a sum!

$$\nabla f_k^y += (p_i(q) - h) \cdot [y_k \times (p_i(q) - r_k)]$$

$$\nabla f_{k-1}^y += (p_i(q) - h) \cdot [y_{k-1} \times (p_i(q) - r_{k-1})]$$

$$\nabla f_{k-2}^y += (p_i(q) - h) \cdot [y_{k-2} \times (p_i(q) - r_{k-2})]$$

...

$$\nabla f_0^y += (p_i(q) - h) \cdot [y_0 \times (p_i(q) - r_0)]$$

# Inverse Kinematic Gradient

```
vec3 gradient_in_current_pose() {  
  
    for (auto &handle : handles) {  
  
        Vec3 h = handle.target;  
        Vec3 p = // TODO: compute output point  
  
        // walk up the kinematic chain  
        for (BoneIndex b = handle.bone; b < bones.size(); b = bones[b].parent) {  
            Bone const &bone = bones[b];  
            Mat4 xf = // TODO: compute [linear transform']  
  
            Vec3 r = xf * Vec3{0.0f, 0.0f, 0.0f};  
  
            Vec3 x = // TODO: compute bone's x-axis in local space  
            Vec3 y = // TODO: compute bone's y-axis in local space  
            Vec3 z = // TODO: compute bone's z-axis in local space  
  
            gradient[b].x += dot(cross(x, p - r), p - h);  
            gradient[b].y += dot(cross(y, p - r), p - h);  
            gradient[b].z += dot(cross(z, p - r), p - h);  
        }  
    }  
}
```

# Inverse Kinematic Gradient

- How do we apply the gradient?
  - Iterate through each joint  $j$  and apply  $\nabla f_j$
  - Make sure to clear all gradients after each step!

$$\theta_j = \theta_j - \tau \nabla f_j$$

- Recompute the loss function

$$f(q) = \sum_{(i,h)} \frac{1}{2} |p_i(q) - h|^2$$

- If loss is lower than some threshold, terminate
  - Otherwise continue until max steps exceeded

